

12-10-01

Attorney Docket No.: B0801/7233 (ERP/RE)

A
Box Seq

UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket No.	B0801/7233 (ERP/RE)
	First Named Inventor or Application Identifier	
	Sean P. Colgan	
	Express Mail Label No.	EL: 844514880 US
	Date of Deposit	October 25, 2001

10/25/01
JC945 U.S. PTO

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents</i>	ADDRESS TO: Box Patent Application Commissioner for Patents Washington, DC 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i> 2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. <input type="checkbox"/> Statement filed in prior application, Status still proper and desired. 3. <input checked="" type="checkbox"/> Specification [Total pages <u>51</u>] <u>44</u> - pages description <u>1</u> - pages abstract <u>6</u> - pages claims <u>49</u> - Total claims <u>40</u> - pages sequence listing 4. <input type="checkbox"/> Drawing(s) (35 USC 113) [Total sheets <u> </u>] <input type="checkbox"/> Informal <input type="checkbox"/> Formal [Total drawings <u> </u>] 5. <input type="checkbox"/> Oath or Declaration [Total pages <u> </u>] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> [Note Box 6 below] i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input type="checkbox"/> Incorporation by Reference <i>(usable if Box 5b is checked)</i> The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. See 19. 7. <input checked="" type="checkbox"/> Application Data Sheet, See 37 CFR 1.76	8. <input type="checkbox"/> CD-ROM or CD-R, in duplicate, large table or Computer Program (Appendix) 9. <input checked="" type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input checked="" type="checkbox"/> Computer Readable Form (CRF) b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input checked="" type="checkbox"/> paper (identical to computer copy) c. <input checked="" type="checkbox"/> Statement verifying identity of above copies ACCOMPANYING APPLICATION PARTS 10. <input type="checkbox"/> Assignment Papers/cover sheet & documents(s) 11. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i> <input type="checkbox"/> Power of Attorney 12. <input type="checkbox"/> English Translation of Document <i>(if applicable)</i> 13. <input type="checkbox"/> Information Disclosure Statement PTO-1449 <input type="checkbox"/> Copies of IDS Citations 14. <input checked="" type="checkbox"/> Preliminary Amendment 15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 16. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 17. <input type="checkbox"/> Request and Certification Under 35 U.S.C. §122(b)(2)(B)(ii) 18. <input checked="" type="checkbox"/> Other: This application claims domestic priority under 35 U.S.C. §119(e) to U.S. Provisional Patent Application Serial No. 60/243,542, filed October 26, 2000, incorporated herein in its entirety by reference.

19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in the body of the application, or a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. : , filed on , entitled and now .

☐ Cancel in this application claims before calculating the filing fee.

☐ Amend the specification by inserting before the first line of the specification on page 1, after the title, the following:

-- This application is a ☐ Continuation ☐ Continuation -in-part (CIP) of prior application No: , file on , entitled , and now .

Prior application information: Examiner

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS ONLY: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

20. CORRESPONDENCE ADDRESS

Correspondence address below

CUSTOMER NUMBER:



23628

OR

ATTORNEY'S NAME	Elizabeth R. Plumer, Reg. No. 36,637				
FIRM NAME	Wolf, Greenfield & Sacks, P.C.				
ADDRESS	600 Atlantic Avenue				
CITY	Boston	STATE	MA	ZIP	02210
COUNTRY	USA	TELEPHONE	(617) 720-3500	FAX	(617) 720-2441

21. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Elizabeth R. Plumer, Reg. No. 36,637
SIGNATURE	<i>Elizabeth R. Plumer</i>
DATE	October 25, 2001

Inventor or Identifier: Sean P. Colgan

Serial No: Not yet assigned

Filed: Herewith

CHECK BOX, if applicable:

For: COMPOSITIONS AND METHODS FOR TREATING
HEMATOLOGIC MALIGNANCIES AND MULTIPLE DRUG
RESISTANCE

☐ **DUPLICATE**

Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	20-20=	0 x	\$18	= \$ 0.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	9-3=	6 x	\$84	= \$ 504.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$280	= \$ 504.00
				BASIC FEE (37 CFR 1.16(a))	\$ 740.00
	Total of above Calculations =				\$
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$
	Assignment Recordation Fee (if any)				\$
	Other Fees (if any).				\$
	TOTAL =				\$ 1,244.00

1. A check in the amount of \$1,244.00 is enclosed.

General Authorization to Charge Deposit Account and General Request for Extension of Time

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ ☒ 1.16 or ☒ 1.17, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
- b. The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ ☐ 1.16 ☐ 1.17 or ☐ 1.18.
3. If the filing of any paper in this application necessitates an extension of time under 37 CFR § 1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ ☒ 1.16 ☒ 1.17, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

Elizabeth R. Plumer
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sean P. COLGAN
Serial No: Not yet assigned
Filed: Herewith
For: COMPOSITIONS AND METHODS FOR TREATING HEMATOLOGIC
MALIGNANCIES AND MULTIPLE DRUG RESISTANCE
Examiner: Not yet assigned
Art Unit: Not yet assigned

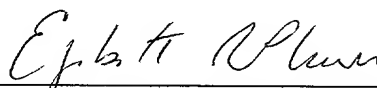
Box Patent Application
Commissioner For Patents
Washington, D.C. 20231

Sir:

STATEMENT PURSUANT TO 37 C.F.R. §1.821(f)

This statement is made pursuant to 37 C.F.R. §1.821(f). Applicant enclose herewith an written copy and a computer readable copy of the Sequence Listing. Applicant's attorney states that the information recorded in the computer readable copy is identical to the written copy of the Sequence Listing.

Respectfully submitted,



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